

Format of holding of specified securities

- 1 Name of the Listed Entity : SMS PHARMACEUTICALS LIMITED
- 2 Scrip Code/Name of Scrip/Class of Security : 532815/SMS PHARMACEUTICALS LIMITED/EQUITY
- 3 Share Holding Pattern Filed under : Reg.31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)
- a. If under 31(1)(b) then indicate the report for Quarter ending : 31/03/2016
- b. If under 31(1)(c) then indicate date of allotment/extinguishment

4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information :-

	Particulars	*Yes	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

Table I - Summary Statement holding of specified securities

Category (i)	Category of shareholder (ii)	No. of share holders (iii)	No. of fully paid up equity shares held (iv)	No. of Partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii = iv+v+vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)(Viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of Securities (ix)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)=(vii)+(x) as a % of (A+B+C2)	Number of Locked in shares (Xii)		Number of Shares pledged or otherwise encumbered (Xiii)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg: X*	Class eg: Y	Total								
(A)	Promoter & Promoter Group	16	54072850	0	0	54072850	63.88	54072850	0	54072850	63.88	0	63.88	0	0	0	0	54072850
(B)	Public	14952	30579180	0	0	30579180	36.12	30579180	0	30579180	36.12	0	36.12	0	0	N.A	N.A	29767960
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	N.A	0	0	0	0	0	0	0	0	N.A	N.A	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A	0
Total		14968	84652030	0	0	84652030	100	84652030	0	84652030	100	0	100	0	0	0	0	83840810

*X = Equity

(f-v)	Bank - Foreign Bank	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(f-vi)	Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total(A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	16	54072850	0	0	54072850	63.88	54072850	0	54072850	63.88	0	63.88	0	0	0	0	54072850

*X = Equity

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (i)	No. of share holders (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii = iv+v+vi)	Share holding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of Securities (ix)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)=(vii)+(x) as a % of A+B+C2	Number of Locked in shares (Xii)		Number of Shares pledged or otherwise encumbered (Xiii)		Number of equity shares held in dematerialized form (XIV)	
							No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
							Class X*	Class Y	Total									
1 Institutions																		
(a) Mutual Funds	1	178385	0	0	178385	0.21	178385	0	178385	0.21	0	0.21	0	0	N.A	N.A		178385
(b) Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A		0
(c) Alternate Investment Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A		0
(d) Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A		0
(e) Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A		0
(f) Financial Institutions/Banks	2	50486	0	0	50486	0.06	50486	0	50486	0.06	0	0.06	0	0	N.A	N.A		50486
(g) Insurance Companies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A		0
(h) Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A		0
(i-i) Bank - Foreign Bank	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A		0
(i-ii) Foreign Institutional Investors	1	22292	0	0	22292	0.03	22292	0	22292	0.03	0	0.03	0	0	N.A	N.A		22292
Sub-Total(B)(1)	4	251163	0	0	251163	0.3	251163	0	251163	0.3	0	0.3	0	0	N.A	N.A		251163
2 Central Government/State Government(s)/President of India																		
(a) Central Government/State Government(s)/ President of India	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A		0
Sub-Total(B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A		0
3 Non-Institutions																		
(a-i) i. Individual shareholders holding nominal share capital up to Rs.2 lakhs	14376	15268649	0	0	15268649	18.04	15268649	0	15268649	18.04	0	18.04	0	0	N.A	N.A		14463819
(a-ii) ii. Individual shareholders holding nominal share capital excess Rs.2 lakhs	7	4450530	0	0	4450530	5.26	4450530	0	4450530	5.26	0	5.26	0	0	N.A	N.A		4450530
VENKATA SUBBARAJU PENMATSA .	1	1795000	0	0	1795000	2.12	1795000	0	1795000	2.12	0	2.12	0	0	N.A	N.A		1795000
VIVEK MUNDRA	1	1020000	0	0	1020000	1.2	1020000	0	1020000	1.2	0	1.2	0	0	N.A	N.A		1020000
(b) NBFCs Registered with RBI	2	26400	0	0	26400	0.03	26400	0	26400	0.03	0	0.03	0	0	N.A	N.A		26400
(c) Eployess Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A		0
(d) Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A		0
(e-i) Non-Resident Indian (NRI) - Non Repatriable	50	1522472	0	0	1522472	1.8	1522472	0	1522472	1.8	0	1.8	0	0	N.A	N.A		1519492
ANIRUDDH MUNDRA	1	1250000	0	0	1250000	1.48	1250000	0	1250000	1.48	0	1.48	0	0	N.A	N.A		1250000
(e-ii) Non-Resident Indian (NRI) - Repatriable	176	471719	0	0	471719	0.56	471719	0	471719	0.56	0	0.56	0	0	N.A	N.A		471719
(e-iii) Corporate Bodies	216	3076387	0	0	3076387	3.63	3076387	0	3076387	3.63	0	3.63	0	0	N.A	N.A		3074687
(e-iv) Corporate Bodies - Foreign Bodies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A		0
(e-ix) Clearing Member	118	270177	0	0	270177	0.32	270177	0	270177	0.32	0	0.32	0	0	N.A	N.A		270177

(e-v)	Corporate Bodies - OCB Non Repatriable	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A	0	
(e-vi)	Corporate Bodies - OCB Repatriable	1	5239473	0	0	5239473	6.19	5239473	0	5239473	6.19	0	6.19	0	0	N.A	N.A	5239473
	GULF PHARMACEUTICAL INDUSTRIES	1	5239473	0	0	5239473	6.19	5239473	0	5239473	6.19	0	6.19	0	0	N.A	N.A	5239473
(e-vii)	Foreign National - FN	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A	0
(e-viii)	Trust	1	1710	0	0	1710	0	1710	0	1710	0	0	0	0	0	N.A	N.A	0
(e-x)	Qualified Foreign Investor - Individual	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A	0
(e-xi)	Qualified Foreign Investor - Corporate	0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A	0
(e-xii)	Unclaimed Susp A/c	1	500	0	0	500	0	500	0	500	0	0	0	0	0	N.A	N.A	500
	Sub-Total(B)(3)	14948	30328017	0	0	30328017	35.83	30328017	0	30328017	35.83	0	35.83	0	0	N.A	N.A	29516797
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	14952	30579180	0	0	30579180	36.12	30579180	0	30579180	36.12	0	36.12	0	0	N.A	N.A	29767960

*X = Equity

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

Category & Name of the Shareholders (i)	PAN (ii)	No. of share holders (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = iv+v+vi)	Share holding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of Securities (ix)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)=(vii)+(x) as a % of A+B+C2	Number of Locked in shares (Xii)		Number of Shares pledged or otherwise encumbered (Xiii)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)	
								Class X*	Class Y	Total								
1 Custodian/DR Holder																		
(a) Custodian/DR Holder		0	0	0	0	0	N.A	0	0	0	0	0	0	0	0	N.A	N.A	0
Sub-Total(C)(1)		0	0	0	0	0	N.A	0	0	0	0	0	0	0	0	N.A	N.A	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit Regulations, 2014)																		
(a) Employees Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014		0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A	0
Sub-Total(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A	0
Total Non-Promoter-Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	N.A	N.A	0

*X = Equity