- 1. Name of Listed Entity: SMS Pharmaceuticals Limited
- 2. Scrip Code/Name of Scrip/Class of Security: SMSPHARMA
- 3. Share Holding Pattern Filed under: 31b
- 4. Share Holding Pattern as on: 30-Sep-2020
- 5. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Catego ry (I)	Category of shareholder (II)	Nos. of shareh olders (III)	No. of fully paid up equity shares	No. of Partly paid-up equity	No. of shares underlying Depository	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as					No. of Shares Underlying Outstanding	Shareholding, as a % assuming full conversion of convertible	Numb Lock sha	ed in	SI pled	nber of hares dged or erwise	Number of equity shares held in
		, ,	held	shares	Receipts	(VII) =	per SCRR,		•	,		convertible	securities (as a	(X	II)		mbered	dematerializ
			(IV)	held	(VI)	(IV)+(V)+	1957)					securities	percentage of			(XIII)	ed form
				(V)		(VI)	(VIII)	No of Voting Total Rights as a			Total	(including	diluted share	No.	As a	No.	As a	(XIV)
							As a % of (A+B+C2)	Rights as a Clas Clas Tot % of				Warrants)	capital)	(a)	% of	(a)	% of	<u> </u>
							(A+D+C2)			Tot		(X)	(XI)=(VII)+(X)	(,,	total	1.7	total	
								Rights as a			As a % of		Shar		Share			
								eg:	eg:y		(C)		(A+B+C2)		es held		s held (b)	
								^							(b)		(6)	
															(-)			
																		ĺ
																		ĺ
																	i l	

A	Promoter &	12	5696535	0		569653		5696			67.29	0	67.29	0		503	8.83	56965350
	Promoter Group		U			50		5350		653 50						059 0		
В	Public	29169	2768668 0	0		276866 80		2768 6680		276 866 80	32.71	0	32.71	0	0			27408114
С	Non Promoter- Non Public	0	0	0	0	0		0	0		0	0		0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	29181	8465203 0	0		846520 30		8465 2030		846 520 30	100	0	100	0	0	503 059 0	5.94	84373464

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total	Sharehol	Numb	er of Votin	na Riah	ts held in	No. of	Shareholdin	Num	ber of	Nu	mber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	nos.	ding %		ch class			Shares	g, as a %	Loci	ked in	S	hares	equity
	Shareholders		older	paid	equity	underlying	shares	calculate		(/	IX)		Underlying	assuming		ares		dged or	shares held
	(1)		(III)	up	shares held	Depository Receipts	held (VII =	d as per SCRR,					Outstanding convertible	full	0	KII)		erwise	in dematerializ
				equity share	(V)	(VI)	(VII = 	1957	1				securities	conversion of	_			ımbered (XIII)	ed form
				s held	(-)	()	,		No of	Voting Ri	ghts	Total as	(including	convertible	No.	As a	No.	As a	(XIV)
				(IV)				As a % of (A+B+C2)				a % of Total	Warrants) (X)	securities (as a	(a)	% of total	(a)	% of total	
								(VIII)	Class	Class	Tot	Voting	(^)	percentage		Shar		share	
								' '	X	Y	al	rights		of diluted		es		s held	
														share capital)		held (b)		(b)	
														(XI) =		(1)			
														(VII)+(X) as a					
														% of A+B+C2					
														ATDTOZ					
1	Indian		0	U	0	0	0	0	0	0	U	0	0	0	0	0	0	0	0
a	Individuals/Hi		10	50340	0	0	503409	59.47	50340	0	503	59.47	0	59.47	0	0	203	4.03	50340930
	ndu undivided			930			30		930		409						059		
	Family										30						0		
-			1	20012	0	0	200124	4.7	20012			4.7	0	4.7	0		U	0	2001210
		AAA		39813	0	0	398134	4.7	39813	O	398	4.7	O	4.7	0	O	0	0	3981340
	VEERAVEN	HT53		40			0		40		134								
	KATA	77D									0								
	SATYANAR																		
	-																		
	AYANA																		
	MURTHY																		
	(HUF)																		
	HIMA	AAO	1	13398	0	0	133983	15.83	13398	0	133	15.83	0	15.83	0	0	0	0	13398360
		PP24		360			60		360		983								
		08H		300			00		300										
					_						60		_		<u> </u>	<u> </u>	_	_	
	ANNAPURN			33653	0	0	336534	3.98	33653			3.98	0	3.98	O	0	0	0	3365340
	A TALLURI	T923		40			0		40		534								
		0C									0								
	VEERAVEN		1	54670	0	0	546700	6.46	54670	0	546	6.46	0	6.46	0	0	0	0	5467000
		PT02		00			0		00		700								Ì
		_							00		, JU								
	SATYANAR))F									U								
	AYANA																		
	MURTHY																		
	μνιΟΙΧΙΙΙΙ			l			L	L	1	<u> </u>	<u> </u>			L	1	1	1		

	TALLURI																		
	PRAVEEN	ACR PT06 64J		12953 40	0	0	129534 0	1.53	12953 40		129 534 0	1.53	0	1.53	0	0	0	0	1295340
	RAMESH BABU POTLURI	ADFP P2514 C		14084 960	0	0	140849 60	16.64	14084 960		140 849 60	16.64	0	16.64	0	0	0	0	14084960
		T379 7B		17500 00		0	175000 0		17500 00		175 000 0		0	2.07	0	0	0		1750000
		AGT PP25 95E		75666 0			756660		75666 0		756 660		0	0.89	0				756660
	VAMSI KRISHNA POTLURI	AQSP P0412 F		48105 90		0	481059 0	5.68	48105 90		481 059 0		0	5.68	0		203 059 0	42.21	4810590
	TRILOK POTLURI	AQSP P0471 A		14313 40	0	0	143134 0	1.69	14313 40	0	143 134 0	1.69	0	1.69	0	0	0	0	1431340
b	Central Government/ State Government(s		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
С	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)			66244 20	0	0	662442 0	7.83	66244 20		662 442 0	7.83	0	7.83	0		300 000 0	45.29	6624420
	Bodies Corporate			66244 20			662442 0		66244 20		662 442 0		0	7.82	0		300 000 0	50.25	6624420
	LABORATO	AAA CH50 37P		65442 0	0	0	654420	0.77	65442 0		654 420	0.77	0	0.77	0	0	0	0	654420

	LIMITED																		
	POTLURI INFRA PROJECTS LLP	AASF P4935 B		59700 00	0	0	597000 0	7.05	59700 00		597 000 0	7.05	0	7.05	0		300 000 0	50.25	5970000
	Sub-Total (A)(1)		12	56965 350	0		569653 50	67.29	56965 350		569 653 50	67.29	0	67.29	0		503 059 0	8.83	56965350
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	O	0	0	0	0	0	0	0	0	O	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		12	56965 350	0		569653 50	67.29	56965 350		569 653 50	67.29	0	67.29	0		503 059 0	8.83	56965350

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name	PAN	Nos. of	No. of	Partly	Nos. of	Total	Sharehol	Number of Voting Rights held in	No. of	Total	Number of	Number of	Number of
of the	(II)	shareh	fully	paid-up	shares	nos.	ding %	each class of securities	Shares	shareholdin	Locked in	Shares	equity
Shareholders		older	paid	equity	underlying	shares	calculate	(IX)	Underlying	g , as a %	shares	pledged or	shares held
(I)		(III)	up	shares	Depository	held	d as per		Outstanding	assuming	(XII)	otherwise	in
			equity	held	Receipts	VII =	SCRR,		convertible	full		encumbered	dematerializ
			share	(V)	(VI)	<u> V+V+V</u>	1957		securities			(XIII)	ed form

				neld IV)			As a % of (A+B+C2) VIII		Voting R	ights	Total as a % of Total	(including Warrants) (X)	conversion of convertible	No. (a)	As a % of total	No. (No t	As a % of total	(XIV)
								Class X	Class Y	Tot al	Voting rights		securities (as a percentage of diluted share capital)		Shar es held (b)	ap pli ca ble) (a)	share s held (Not applic able) (b)	
1	Institutions	C		0	0	0	0	0	0	0	0	0	0	0	0			0
a	Mutual Funds/	C	0	0	0	0	0	0	0	0	0	0	0	0	0			0
b	Venture Capital Funds	C	0	0	0	0	0	0	0	0	0	0	0	0	0			0
С	Alternate Investment Funds	C	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Foreign Venture Capital Investors	C	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Foreign Portfolio Investors	1	250	000 0	0	250000	0.3	25000 0	0	250 000	0.3	0	0.3	0	0			250000
f	Financial Institutions/ Banks	C	0	0	0	0	0	0	0	0	0	0	0	0	0			0
g	Insurance Companies	C	0	0	0	0	0	0	0	0	0	0	0	0	0			0
h	Provident Funds/ Pension Funds	C	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i	Any Other (specify)	C	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(1)	1	250 0	000 0	0	250000	0.3	25000 0	0	250 000	0.3	0	0.3	0	0			250000
2	Central Government/ State	C	0	0	0	0	0	0	0	0	0	0	0	0	0			0

	Government(s)/ President of India																	
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Non- institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Individuals -		28629	22953 962	0	0	229539 62	27.11	22953 962	0	229 539 62	27.11	0	27.11	0	0	226	576796
	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		28621	18505 719	0	0	185057 19	21.86	18505 719	0	185 057 19	21.86	0	21.86	0	0	182	228553
	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		8	44482 43	0	0	444824	5.25	44482	0	444 824 3	5.25	0	5.25	0	0	444	8243
	MADHUSUD AN MURLIDHA R KELA	PK86	1	93243 7	0	0	932437	1.1	93243 7	0	932 437		0	1.1	0	0	932	2437
	SUBBARAJ	AAF HV66 69H		18000 00	0	0	180000 0	2.13	18000	0	180 000 0	2.13	0	2.13	0	0	180	00000
	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
С	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	_

d Overseas Depositories (holding DR (balancing figure)		0	0	0	0	0	0	0	0	0 0	0	0	0	0	0
e Any Other (specify)		539	44827 18	0	0	448271 8	5.3	44827 18	0	448 5.3 271 8	0	5.3	0	0	4481318
Bodies Corporate		124	18670 85	0	0	186708 5	2.21	18670 85	0	186 2.21 708 5	0	2.21	0	0	1866585
Clearing Members		91	16730 9	0	0	167309	0.2	16730 9	0	167 0.2 309	0	0.2	0	0	167309
Foreign Nationals		1	271	0	0	271	0	271	0	271 0	0	0	0	0	271
IEPF		1	69680	0	0	69680	0.08	69680	0	696 0.08 80	0	0.08	0	0	69680
Non-Reside Indian (NRI		320	23578 73	0	0	235787 3	2.79	23578 73	0	235 2.79 787 3	0	2.79	0	0	2356973
VIVEK MUNDRA	AFQI M343 6D		10436 28	0	0	104362 8	1.23	10436 28	0	104 1.23 362 8	0	1.23	0	0	1043628
ANIRUDDI MUNDRA			98330 7	0	0	983307	1.16	98330 7	0	983 1.16 307	0	1.16	0	0	983307
Trusts		1	20000	0	0	20000	0.02	20000	0	200 0.02	0	0.02	0	0	20000
Unclaimed of Suspense or Escrow Account		1	500	0	0	500	0	500	0	500 0	0	0	0	0	500
Sub-Total (B)(3)		29168	27436 680	0	0	274366 80	32.41	27436 680	0	274 32.41 366 80	0	32.41	0	0	27158114
Total Public Shareholdin		29169	27686 680	0	0	276866 80	32.71	27686 680	0	276 32.71 866	0	32.71	0	0	27408114

(B)=					80				
(B)(1)+(B)(2)									
+(B)(3)									

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Numbe	er of Votin	g Righ	ts held in	No. of	Total	Num	ber of	Nun	nber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %	ea	ch class o		rities	Shares	shareholdin		red in		nares	equity
	Shareholders		older	paid	equity	underlying	held (VII =	calculate		(I.	X)		Underlying	g, as a %		ares		lged or	shares held in
	(1)		(III)	up equity	shares held	Depository Receipts	(VII = (V+V+VI)	d as per SCRR,					Outstanding convertible	assuming full	()	(II)		erwise mbered	dematerializ
				share	(V)	(VI)		1957					securities	conversion	1			XIII)	ed form
				s held				As a % of	No of	Voting Rig	ghts	Total as	(including	of	No.	As a	No.	As a	(XIV)
				(IV)				(A+B+C2) (VIII)				a % of Total	Warrants) (X)	convertible securities (% of total	(No	% of total	(Not Applicable)
								(*****)	Class	Class	Tot	Voting	(2)	as a		Shar	ap	share	Аррисаыс)
									X	Y	al	rights		percentage		es	pli	s held	
														of diluted share		held	ca ble	(Not applic	
														capital))	applic able)	
														(XI)			,		
1	Custodian/DR		0	0	0	0	0		O	0	0	0	0		0	0			0
	Holder																		
2	Employee		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Benefit Trust																		
	(under SEBI																		
	(Share based																		
	Employee																		
	Benefit)																		
	Regulations,																		
	_																		
	2014)																		
	Total Non-		0	0	0	0	0		O	0	0	0	0		0	0			0
	Promoter-																		
	Non Public																		
	Shareholding																		
	(C)=																		
	(C)(1)+(C)(2)																		

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign	 Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of
		national	Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	significant

1	TVVSN	AAAHT537	India	0	0	0			
	Murthy	7D							
	(HUF)								
A	TVVSN	ABKPT0255	India				Yes	Yes	29-Apr-2004
	Murthy	F							_

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,					
outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.					
No. of shareholders	No of share held				

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,						
outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.						
No. of shareholders No of share held						

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding							
Name of shareholder	Name of PAC	No. of share	Holding %				