

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **SMS Pharmaceuticals Limited**
 2. Quarter ending - **30-Sep-2019**

i. Composition Of Board Of Director

T i t l e (M r . / M s)	Name of the Director	DI N	P A N	C a t e g o r y (C h a i r p e r s o n / E x e c u t i v e / N o n - E x e c u t i v e / I n d e p e n d e n t / N o m i n e e)	S u b C a t e g o r y	Ini t i a l D a t e o f A p p o i n t m e n t	D a t e o f A p p o i n t m e n t	D a t e o f c e s s a t i o n	T e n u r e	D a t e o f B i r t h	No. o f D i r e c t o r s h i p i n l i s t e d e n t i e s i n c l u d i n g t h i s l i s t e d e n t i t y	No o f I n d e p e n d e n t D i r e c t o r s h i p i n l i s t e d e n t i e s i n c l u d i n g t h i s l i s t e d e n t i t y	No o f m e m b e r s h i p i n A u d i t / S t a k e h o l d e r C o m m i t t e e (s) i n c l u d i n g t h i s l i s t e d e n t i t y	No o f p o s t o f C h a i r p e r s o n i n A u d i t / S t a k e h o l d e r C o m m i t t e e h e l d i n l i s t e d e n t i e s i n c l u d i n g t h i s l i s t e d e n t i t y	M e m b e r s h i p i n C o m m i t t e e s o f t h e C o m p a n y	Rem a r k s
M r.	Ramesh Babu Potluri	0 0 1 6 6 3 8 1	A D F P P 2 5 1 4 C	C & ED		01- Dec- 2008	01- Dec- 2008			23- Jan - 195 9	2	0	2	0	AC,S C,N RC	
M r.	Talluri Veera Venkata Satyanara yana Murthy	0 0 4 6 5 1	A B K P T 0	NE D		30- May- 2017	30- May- 2017			11- Feb - 196 0	2	0	2	0	SC,N RC	

		9 8	2 5 5 F												
M r.	Pakalapati Sarath Kumar	0 1 4 5 6 7 4 2 6	A D F P P 2 2 9 2 P	ID		30- Sep- 2014	30- Sep- 2014		6 0	15- Au- g- 195 7	2	2	2	2	SC
M r.	Shravan Kudaravali	0 6 9 0 5 8 5 1	A A Z P K 5 4 7 3 C	ID		26- May- 2018	26- May- 2018		6 0	28- Apr - 197 8	2	2	2	1	AC, NRC
M r.	Sarvepalli Srinivas	0 2 2 9 2 0 5 1	A K I P S 7 6 9 2 B	ID		12- Aug- 2019	12- Aug- 2019		6 0	29- Jun - 196 2	1	1	1	0	SC
M rs .	Thummal a Neelaveni	0 0 0 6 5 5 7 1	A C D P T 0 3 0 0 K	ID		30- Sep- 2014	30- Sep- 2014		6 0	01- Jul- 196 3	2	2	4	1	AC,S C,N RC
M s.	Shilpa R Waghmare	0 7 0 0 9 9	A A N P W 7	NE D, ND		31- Jan- 2019	31- Jan- 2019			01- Jul- 197 2	1	0	0	0	NA

		6	7														
		6	6														
			8														
			C														

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	Yes

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Ramesh Babu Potluri	C & ED	Member	01-Dec-2020	
2	Shravan Kudaravalli	ID	Chairperson	26-May-2018	
3	Thummala Neelaveni	ID	Member	30-Sep-2014	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Ramesh Babu Potluri	C & ED	Member	01-Dec-2020	
2	Talluri Veera Venkata Satyanarayana Murthy	NED	Member	30-May-2017	
3	Pakalapati Sarath Kumar	ID	Chairperson	12-Aug-2019	
4	Sarvepalli Srinivas	ID	Member	12-Aug-2019	
5	Thummala Neelaveni	ID	Member	30-Sep-2014	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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Company Remarks	
Whether Permanent chairperson appointed	

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Ramesh Babu Potluri	C & ED	Member	12-Aug-2019	
2	Talluri Veera Venkata	NED	Member	12-Aug-2019	

	Satyanarayana Murthy				
3	Shravan Kudaravalli	ID	Member	12-Aug-2019	
4	Thummala Neelaveni	ID	Chairperson	30-Sep-2014	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. **Meeting of Board of Directors**

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
27-May-2019	12-Aug-2019	Yes	6	3

Company Remarks	
Maximum gap between any two consecutive (in number of days)	76

iv. **Meeting of Committees**

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	27-May-2019	12-Aug-2019	Yes	3	3
Nomination & Remuneration Committee	27-May-2019	12-Aug-2019	Yes	2	2

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	76

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : **Ramesh Babu potluri**
Designation : **Managing Director**

ANNEXURE III

Affirmations

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	No	She was out station due to unavoidable circumstances
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed	34(3) read with para C of	Yes	

in Annual Report	Schedule V		
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Note:

NA

Name : **Ramesh Babu Potluri**
Designation : **Managing Director**