

1. Name of Listed Entity: SMS Pharmaceuticals Limited
2. Scrip Code/Name of Scrip/Class of Security: SMSPHARMA
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2018
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								As a % of (A+B+C2)	No of Voting Rights				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class eg: X	Class eg: y	Total								
A	Promoter &	12	5694535	0	0	569453	67.27	5694	0	569	67.27	0	67.27	0	0.00	633	11.12	56945350

	Promoter Group		0			50		5350		45350					0590			
B	Public	16467	27706680	0	0	27706680	32.73	27706680	0	27706680	32.73	0	32.73	0	0.00		27397034	
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0	
	Total	16479	84652030	0	0	84652030	100.00	84652030	0	84652030	100.00	0	100.00	0	0.00	6330590	7.48	84342384

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of older shares (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total nos. held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
1	Indian		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals/Hindu undivided Family		10	50742930	0	0	50742930	59.94	50742930	0	50742930	59.94	0	0.00	2030590	4.00	50742930	
	GOPINEEDI SUDEEP THI	AFBP T3797B	1	1750000	0	0	1750000	2.07	1750000	0	1750000	2.07	0	0.00	0	0.00	1750000	
	VAMSI KRISHNA POTLURI	AQSP P0412F	1	2030590	0	0	2030590	2.40	2030590	0	2030590	2.40	0	0.00	2030590	100.00	2030590	
	T ANNAPURNA	ABIP T9230C	1	4390340	0	0	4390340	5.19	4390340	0	4390340	5.19	0	0.00	0	0.00	4390340	
	SATYA VANI POTLURU	AGT PP2595E	1	756660	0	0	756660	0.89	756660	0	756660	0.89	0	0.00	0	0.00	756660	
	T V V S N MURTHY	ABK PT0255F	1	8227000	0	0	8227000	9.72	8227000	0	8227000	9.72	0	0.00	0	0.00	8227000	
	RAMESH BABU POTLURI	ADFP P2514C	1	14506960	0	0	14506960	17.14	14506960	0	14506960	17.14	0	0.00	0	0.00	14506960	

	T V PRAVEEN	ACR PT06 64J	1	1295340	0	0	1295340	1.53	1295340	0	1295340	1.53	0	1.53	0	0.00	0	0.00	1295340
	T V V S N MURTHY (HUF)	AAA HT53 77D	1	3981340	0	0	3981340	4.70	3981340	0	3981340	4.70	0	4.70	0	0.00	0	0.00	3981340
	HIMA BINDU POTLURI	AAO PP24 08H	1	12373360	0	0	12373360	14.62	12373360	0	12373360	14.62	0	14.62	0	0.00	0	0.00	12373360
	TRILOK POTLURI	AQSP P0471 A	1	1431340	0	0	1431340	1.69	1431340	0	1431340	1.69	0	1.69	0	0.00	0	0.00	1431340
b	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
c	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
d	Any Other (specify)		2	6202420	0	0	6202420	7.33	6202420	0	6202420	7.33	0	7.33	0	0.00	4300000	69.33	6202420
	Bodies Corporate		2	6202420	0	0	6202420	7.33	6202420	0	6202420	7.33	0	7.33	0	0.00	4300000	69.33	6202420
	potluri Laboratories Private limited	AAA CH50 37P	1	2324200	0	0	2324200	0.27	2324200	0	2324200	0.27	0	0.27	0	0.00	0	0.00	2324200
	potluri Infra projects LLP	AASF P4935 B	1	5970000	0	0	5970000	7.05	5970000	0	5970000	7.05	0	7.05	0	0.00	4300000	72.03	5970000
	Sub-Total (A)(1)		12	56945350	0	0	56945350	67.27	56945350	0	56945350	67.27	0	67.27	0	0.00	6330590	11.12	56945350
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		12	56945350	0	0	56945350	67.27	56945350	0	56945350	67.27	0	67.27	0	0.00	6330590	11.12	56945350

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		
									Class X	Class Y									Total
1	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00					0
b	Venture		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00					0

	Capital Funds																		
c	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
f	Financial Institutions/ Banks		2	15365	0	0	15365	0.02	15365	0	15365	0.02	0	0.02	0	0.00			15365
g	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
i	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(1)		2	15365	0	0	15365	0.02	15365	0	15365	0.02	0	0.02	0	0.00			15365
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
3	Non-institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals -		15948	21642503	0	0	21642503	25.57	21642503	0	21642503	25.57	0	25.57	0	0.00	0	0.00	21334357

i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		15938	16436892	0	0	16436892	19.42	16436892	0	16436892	19.42	0	19.42	0	0.00		16128746
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		10	5205611	0	0	5205611	6.15	5205611	0	5205611	6.15	0	6.15	0	0.00		5205611
	Madhusudhan kela	AHM PK8610P	1	885599	0	0	885599	1.05	885599	0	885599	1.05	0	1.05	0	0.00		885599
	VENKATA SUBBARAJ U PENMATSA .	AAF HV6669H	1	179000	0	0	179000	2.11	179000	0	179000	2.11	0	2.11	0	0.00		1790000
b	NBFCs registered with RBI		1	85000	0	0	85000	0.10	85000	0	85000	0.10	0	0.10	0	0.00		85000
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
e	Any Other (specify)		516	5963812	0	0	5963812	7.05	5963812	0	5963812	7.05	0	7.05	0	0.00		5962312
	Non-Resident Indian (NRI)		56	2355229	0	0	2355229	2.78	2355229	0	2355229	2.78	0	2.78	0	0.00		2354329

	Non-Resident Indian (NRI)	157	333362	0	0	333362	0.39	333362	0	333362	0.39	0	0.39	0	0.00		333362
	Bodies Corporate	197	294869	0	0	294869	3.48	294869	0	294869	3.48	0	3.48	0	0.00		2948099
	Clearing member	101	257341	0	0	257341	0.30	257341	0	257341	0.30	0	0.30	0	0.00		257341
	Foreign Nationals	1	271	0	0	271	0.00	271	0	271	0.00	0	0.00	0	0.00		271
	Trusts	2	2500	0	0	2500	0.00	2500	0	2500	0.00	0	0.00	0	0.00		2500
	Unclaimed or Suspense or Escrow Account	1	500	0	0	500	0.00	500	0	500	0.00	0	0.00	0	0.00		500
	IEPF	1	65910	0	0	65910	0.08	65910	0	65910	0.08	0	0.08	0	0.00		65910
	Sub-Total (B)(3)	16465	27691315	0	0	27691315	32.72	27691315	0	27691315	32.72	0	32.72	0	0.00		27381669
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	16467	27706680	0	0	27706680	32.74	27706680	0	27706680	32.74	0	32.74	0	0.00		27397034

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)	(Not Applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %