

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **SMS Pharmaceuticals Limited**
 2. Quarter ending - **30-Sep-2017**

I. Composition of Board of Directors										
Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non-Executive/Independent/Nominee)	Date of Appointment	Date of cessation	Tenure	No of Directors hip in listed entities including this listed entity	No of members hips in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity
Mr.	Ramesh Babu Potluri	00166381	ADFPP2514C	C & ED				2	0	0
Mr.	Talluri Veera Venkata Satyanarayana Murthy	00465198	ABKPT0255F	NED				2	2	0
Mr.	Mihir Kant Chaudhuri	00489843	AHJPC4346B	ID	30-Sep-2014		5 Year	1	0	0
Mr.	Pakalapati Sarath Kumar	01456746	ADFPP2292P	ID	30-Sep-2014		5 Year	3	5	2
Mrs.	Thummala Neelaveni	00065571	ACDPT0300K	ID	30-Sep-2014		5 Year	2	4	1
Mr.	Potluri Venkata Subba Rao	00099066	ABLPP9579K	ID	07-Nov-2015		5 Year	2	4	1
Mr.	Utpal Gokhale	02619302	AAAPU6934H	NED,ND				1	0	0

II. Composition of Committees

Audit Committee			
Sr. No.	Name of the Director	Category	Chairperson/Membership
1	Potluri Venkata Subba Rao	ID	Member
2	Thummala Neelaveni	ID	Member
3	Pakalapati Sarath Kumar	ID	Chairperson

Stakeholders Relationship Committee			
Sr. No.	Name of the Director	Category	Chairperson/Membership
1	Talluri Veera Venkata Satyanarayana Murthy	NED	Member
2	Pakalapati Sarath Kumar	ID	Member
3	Thummala Neelaveni	ID	Member
4	Potluri Venkata Subba Rao	ID	Chairperson

Risk Management Committee			
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>

Nomination and Remuneration Committee			
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>
1	Pakalapati Sarath Kumar	ID	Member
2	Potluri Venkata Subba Rao	ID	Member
3	Thummala Neelaveni	ID	Chairperson

III. Meeting of Board of Directors		
<i>Date(s) of Meeting (if any) in the previous quarter</i>	<i>Date(s) of Meeting (if any) in the relevant quarter</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
	27-Sep-2017	72
	13-Sep-2017	
30-May-2017	11-Aug-2017	

IV. Meeting of Committees				
<i>Name of the committee</i>	<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met (details)</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
				107
Audit Committee	12-Sep-2017	YES	27-May-2017	
Nomination & Remuneration Committee	12-Sep-2017	YES		
Stakeholders Relationship Committee		NA		

V. Related Party Transactions	
<i>Subject</i>	<i>Compliance status (Yes/No/NA)</i>
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Not Applicable
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**

3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

Necessary reports from the previous quarter place before the Board and the Board took note of the same. No comments made by the Board on the same.

Name : Ramesh Babu Potluri
Designation : Managing Director

ANNEXURE III

Affirmations

Broad Heading	Regulation Number	Compliance Status
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	No
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes

Note:

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
2. If status is "No" details of non-compliance may be given here. **Due to the pre occupation Chairperson of Nomination and Remuneration Committee could not able to attend the AGM. On behalf of committee one member from the committee duly authorized by the committee had attended the AGM.**
3. If the Listed Entity would like to provide any other information the same may be indicated here.

Name : **Ramesh Babu Potluri**
Designation : **Managing Director**