

1. Name of Listed Entity:SMS Pharmaceuticals Limited
2. Scrip Code/Name of Scrip/Class of Security: SMSPHARMA
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2017
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)	
As a % of (A+B+C2)			Class eg: X	Class eg: y	Total												
A	Promoter & Promoter Group	16	57557890	0	0	57557890	67.99	57557890	0	57557890	67.99	0	0.00	6330590	11.00	57557890	
B	Public	16837	2709414	0	0	2709414	32.01	2709414	0	2709414	32.01	0	0.00			26634405	

			0			40		4140		941 40								
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00		0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total	16853	8465203 0	0	0	846520 30	100.00	84650 2030	0	846 520 30	100.0	0	100.00	0	0.00	633 059 0	7.48	84192295

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of older shares (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								As a % of (A+B+C2) (VIII)	Class X	Class Y			Total	Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)		No. (a)
1	Indian					0	0.00			0	0.00	0	0.00		0	0.00		
a	Individuals/Hindu undivided Family		14	513550	470	0	60.67	513550	470	513550	60.67	0	60.67	0	0.00	2030590	3.95	51355470
	TRILOK POTLURI	AQSP P0471A		143130	40	0	1.69	143130	40	143130	1.69	0	1.69	0	0.00	0	0.00	1431340
	RAJESWARA RAO GOPINEEDI	ACPP G5749K		1040	0	0	0.00	1040	0	1040	0.00	0	0.00	0	0.00	0	0.00	1040
	T V V S N MURTHY	ABK PT0255F		822700	0	0	9.72	822700	0	822700	9.72	0	9.72	0	0.00	0	0.00	8227000
	VAMSI KRISHNA POTLURI	AQSP P0412F		203050	90	0	2.40	203050	90	203050	2.40	0	2.40	0	0.00	2030590	100.0	2030590
	SUKUMARI KONERU	AJJP K3578L		40000	0	0	0.05	40000	0	40000	0.05	0	0.05	0	0.00	0	0.00	40000
	RAMESH BABU POTLURI	ADFP P2514C		145060	960	0	17.14	145060	960	145060	17.14	0	17.14	0	0.00	0	0.00	14506960
	T ANNAPURN	ABIP T923		439030	40	0	5.19	439030	40	439030	5.19	0	5.19	0	0.00	0	0.00	4390340

	A	OC								0								
	T V PRAVEEN	ACR PT06 64J		1295340	0	0	1295340	1.53	1295340	1295340	1.53	0	1.53	0	0.00	0	0.00	1295340
	HIMA BINDU POTLURI	AAO PP24 08H		12373360	0	0	12373360	14.62	12373360	12373360	14.62	0	14.62	0	0.00	0	0.00	12373360
	HARI KISHORE POTLURI .	AEJP P6013 K		4300600	0	0	4300600	0.51	4300600	4300600	0.51	0	0.51	0	0.00	0	0.00	4300600
	T V V S N MURTHY(HUF)	AAA HT53 77D		3981340	0	0	3981340	4.70	3981340	3981340	4.70	0	4.70	0	0.00	0	0.00	3981340
	GOPINEEDI SUDEEP THI	AFBP T379 7B		1750000	0	0	1750000	2.07	1750000	1750000	2.07	0	2.07	0	0.00	0	0.00	1750000
	P SURESH BABU	AAA PY93 83J		1414400	0	0	1414400	0.17	1414400	1414400	0.17	0	0.17	0	0.00	0	0.00	1414400
	SATYA VANI POTLURU	AGT PP25 95E		7566600	0	0	7566600	0.89	7566600	7566600	0.89	0	0.89	0	0.00	0	0.00	7566600
b	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
c	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00
d	Any Other (specify)		2	6202420	0	0	6202420	7.33	6202420	6202420	7.33	0	7.33	0	0.00	4300000	69.33	6202420
	Body Corporate		2	6202420	0	0	6202420	7.33	6202420	6202420	7.33	0	7.33	0	0.00	4300000	69.33	6202420
	POTLURI	AAA		232420	0	0	232420	0.27	232420	232420	0.27	0	0.27	0	0.00	0	0.00	232420

	LABORATORIES PRIVATE LIMITED	CH5037P		0					0		420								
	POTLURI INFRA PROJECTS LLP	AASF P4935 B		597000	0	0	597000	7.05	597000	0	597000	7.05	0	7.05	0	0.00	430000	72.03	5970000
	Sub-Total (A)(1)		16	57557890	0	0	57557890	68.00	57557890	0	57557890	68.00	0	68.00	0	0.00	6330590	11.00	57557890
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		16	57557890	0	0	57557890	68.00	57557890	0	57557890	68.00	0	68.00	0	0.00	6330590	11.00	57557890

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
l	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Foreign Portfolio Investors		1	4461	0	0	4461	0.01	4461	0	4461	0.01	0	0.01	0	0.00			4461
f	Financial Institutions/ Banks		2	27442	0	0	27442	0.03	27442	0	27442	0.03	0	0.03	0	0.00			27442
g	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
i	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

	Sub-Total (B)(1)		3	31903	0	0	31903	0.04	31903	0	31903	0.04	0	0.04	0	0.00			31903
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
3	Non-institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals -		16163	21865019	0	0	21865019	25.83	21865019	0	21865019	25.83	0	25.83	0	0.00	0	0.00	21410634
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		16155	162430249	0	0	162430249	19.19	162430249	0	162430249	19.19	0	19.19	0	0.00			15788864
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		8	56217070	0	0	56217070	6.64	56217070	0	56217070	6.64	0	6.64	0	0.00			5621770
	VIVEK MUNDRA	AFQP1 M343 6D	1	11628034	0	0	11628034	1.37	11628034	0	11628034	1.37	0	1.37	0	0.00			1162834
	MADHUSUDAN KELA	AHM1 PK86 10P	1	13855099	0	0	13855099	1.64	13855099	0	13855099	1.64	0	1.64	0	0.00			1385599
	VENKATA SUBBARAJU	AAF1 HV66 69H	1	17900000	0	0	17900000	2.11	17900000	0	17900000	2.11	0	2.11	0	0.00			17900000

	PENMATSA																		
b	NBFCs registered with RBI		2	7600	0	0	7600	0.01	7600	0	7600	0.01	0	0.01	0	0.00			7600
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Any Other (specify)		669	5189618	0	0	5189618	6.13	5189618	0	5189618	6.13	0	6.13	0	0.00			5184268
	Non-Resident Indian (NRI)		227	1610937	0	0	1610937	1.90	1610937	0	1610937	1.90	0	1.90	0	0.00			1608957
	ANIRUDDH MUNDRA	AKFP0 M782 4D	0	1149900	0	0	1149900	1.36	1149900	0	1149900	1.36	0	1.36	0	0.00			1149900
	Overseas Corporate Bodies		242	2861368	0	0	2861368	3.38	2861368	0	2861368	3.38	0	3.38	0	0.00			2859708
	Clearing member		197	713103	0	0	713103	0.84	713103	0	713103	0.84	0	0.84	0	0.00			713103
	Trust		2	3710	0	0	3710	0.00	3710	0	3710	0.00	0	0.00	0	0.00			2000
	Other		1	500	0	0	500	0.00	500	0	500	0.00	0	0.00	0	0.00			500
	Sub-Total (B)(3)		16834	27062237	0	0	27062237	31.97	27062237	0	27062237	31.97	0	31.97	0	0.00			26602502
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		16837	27094140	0	0	27094140	32.01	27094140	0	27094140	32.01	0	32.01	0	0.00			26634405

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (i)	PAN (ii)	No. of older shares (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of underlying Depository Receipts (vi)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Underlying Outstanding convertible securities (including Warrants) (x)	Total shareholding, as a % assuming full conversion of convertible securities (xi)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
As a % of (A+B+C2) (viii)			Class X	Class Y	Total													
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %