- 1. Name of Listed Entity: SMS Pharmaceuticals Limited
- 2. Scrip Code/Name of Scrip/Class of Security: SMSPHARMA
- 3. Share Holding Pattern Filed under: 31b
- 4. Share Holding Pattern as on: 30-Sep-2017
- 5. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in	No No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I - Summary Statement holding of specified securities

Catego	Category of	Nos. of	No. of fully	No. of	No. of	Total	Shareholding		nber of V			No. of	Shareholding,		per of		nber of	Number of
ry	shareholder	shareh	paid up	Partly	shares	nos.	as a % of total	he	eld in ea		s of	Shares	as a % assuming	Lock			hares	equity
(1)	(II)	olders	equity	paid-up	underlying	shares	no. of shares			rities		Underlying	full conversion	sha	res		lged or	shares held
		(III)	shares	equity	Depository	held	(calculated as		(I	X)		Outstanding	of convertible				erwise	in
			held	shares	Receipts	(VII) =	per SCRR,					convertible	securities (as a	<u>(X</u>	<u>II)</u>		mbered	dematerializ
			(IV)	held	(VI)	(IV)+(V)+	1957)					securities	percentage of			(XIII)	ed form
				(V)		(VI)	(VIII)					(including	diluted share			L.,		000
							As a % of		of Votin	ıg	Total	Warrants)	capital)	No.	As a	No.	As a	(XIV)
							(A+B+C2)		Rights	T. 4	as a % of	(X)	(VI) (VIII) (VI)	(a)	% of	(a)	% of total	
								Clas	Clas	Tot			(XI) = (VII) + (X)		total Shar		Share	
								8	S	al	(A+B+ C)		As a % of		es		s held	
								eg:	eg:y		0)		(A+B+C2)		held		(b)	
								^							(b)		(5)	
															(5)			
۸	Promoter &	16	5755789	Λ	0	575578	67.00	5755	Λ	575	67.99	0	67.99	Λ	0.00	622	11.00	57557890
A	r romoter &	10	3133109	U	U	313316	07.99				07.99	U	07.99	U	0.00	033	11.00	31331090
	Promoter		0			90		7890		578						059		
			Ŭ													0		
	Group									90						U		
R	Public	16837	2709414	0	0	270941	32.01	2709	0	270	32.01	0	32.01	0	0.00			26634405
D	i uone	10057	2/0/414	U	U	410341	J2.U1	2109	U	210	<i>52.</i> 01	U	J2.U1	U	0.00			2003 14 03

			0			40		4140		941 40								
С	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0.00		0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total	16853	8465203 0	0		846520 30	100.00	8465 2030		846 520 30	100.0 0	0	100.00	0	0.00	633 059 0	7.48	84192295

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total	Sharehol	Numb	er of Votii	ng Righ	ts held in	No. of	Shareholdin	Numi	ber of	Nui	mber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	nos.	ding %	ea	ch class		rities	Shares	g, as a %		ed in		hares	equity
	Shareholders (I)		older (III)	paid up	equity shares	underlying Depository	shares held	calculate d as per	1	(4	IX)		Underlying Outstanding	assuming full	sha /X	ares (II)		dged or erwise	shares held in
	1.7		()	equity	held	Receipts	(VII =	SCRR,	1				convertible	conversion	, ''	,		ımbered	dematerializ
				share	(V)	(VI)	IV+V+VI)	1957					securities	of				(XIII)	ed form
				s held (IV)				As a % of	No of	Voting Ri	ghts	Total as a % of	(including Warrants)	convertible securities (No. (a)	As a % of	No. (a)	As a % of	(XIV)
				(11)				(A+B+C2)				Total	(X)	as a	(α)	total	(4)	total	
								(VIII)	Class	Class	Tot	Voting		percentage of diluted		Shar		share s held	
									X	Y	al	rights		share	-	es held		(b)	
														capital)		(b)		(-)	
														(XI) = (VII)+(X) as a					
														% of					
														A+B+C2					
1	Indian						0	0.00			0	0.00	0	0.00		0.00	0	0.00	
a	Individuals/Hi		14	51355	0	0	513554	60.67	51355	0	513	60.67	0	60.67	0	0.00	203	3.95	51355470
	ndu undivided			470			70		470		554						059		
				770			7.0		Γ''		70						037		
-	Family																U		
	TRILOK	AQSP		14313	0	0	143134	1.69	14313	0	143	1.69	0	1.69	0	0.00	0	0.00	1431340
	POTLURI	P0471		40			0		40		134								
		Α									0								
	RAJESWAR	ACPP		1040	0	0	1040	0.00	1040	0	104	0.00	0	0.00	0	0.00	0	0.00	1040
	A RAO	G574		1040	O	O	1040	0.00	1040	O	0	0.00		0.00	O	0.00		0.00	1040
											U								
		9K																	
	TVVSN	ABK		82270	0	0	822700	9.72	82270	0	822	9.72	0	9.72	0	0.00	0	0.00	8227000
	MURTHY	PT02		00			0		00		700								
		55F									0								
	VAMSI			20305	0	0	203059	2.40	20305	0	202	2.40	0	2.40	0	0.00	202	100.0	2030590
		AQSP			U	U	203039	2.40		U		2.40	U	2.40	U	0.00			2030390
		P0412		90			0		90		059						059	0	
	POTLURI	F									0						0		
	SUKUMARI	AIJP		40000	0	0	40000	0.05	40000	0	400	0.05	0	0.05	0	0.00	0	0.00	40000
	KONERU	K357									00								
		8L									00								
-				1.450 =	0	0	1.450.60	17.14	1.450 5	0	1.45	17.14		17.14		0.00		0.00	1.450.60.60
	RAMESH	ADFP		14506	U	U	145069	17.14	14506	U		17.14	U	17.14	0	0.00	υ	0.00	14506960
	BABU	P2514		960			60		960		069								
	POTLURI	C									60								
	Т	ABIP		43903	0	0	439034	5.19	43903	0	439	5.19	0	5.19	0	0.00	0	0.00	4390340
	ANNAPURN			40			0	0.17	40	ľ	034	0.17				3.00		3.00	1.570510
	MINIMATURIN	1743		Ηυ		I	ν	L	1 0		UJ4	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>	<u> </u>		

	A	0C									0								
	T V PRAVEEN	ACR PT06 64J		12953 40	0	0	129534 0	1.53	12953 40		129 534 0	1.53	0	1.53	0	0.00	0	0.00	1295340
	HIMA BINDU POTLURI	AAO PP24 08H		12373 360	0	0	123733 60	14.62	12373 360		733 60	14.62	0	14.62	0	0.00	0	0.00	12373360
	HARI KISHORE POTLURI .	AEJP P6013 K		43006 0	0	0	430060	0.51	43006 0		430 060	0.51	0	0.51	0	0.00	0	0.00	430060
	T V V S N MURTHY(H UF)	AAA HT53 77D		39813 40	0	0	398134 0	4.70	39813 40	0	398 134 0	4.70	0	4.70	0	0.00	0	0.00	3981340
	GOPINEEDI SUDEEPTHI			17500 00	0	0	175000 0	2.07	17500 00		175 000 0	2.07	0	2.07	0	0.00	0	0.00	1750000
	P SURESH BABU	AAA PY93 83J		14144 0	0	0	141440	0.17	14144 0		141 440	0.17	0	0.17	0	0.00	0	0.00	141440
	SATYA VANI POTLURU	AGT PP25 95E		75666 0	0	0	756660	0.89	75666 0		756 660	0.89	0	0.89	0	0.00	0	0.00	756660
b	Central Government/ State Government(s		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
c	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
d	Any Other (specify)			62024 20	0		620242 0	7.33	62024 20		620 242 0	7.33	0	7.33	0		430 000 0		6202420
	Body Corporate			62024 20	0	0	620242 0	7.33	62024 20		620 242 0	7.33	0	7.33	0		430 000 0	69.33	6202420
	POTLURI	AAA		23242	0	0	232420	0.27	23242	0	232	0.27	0	0.27	0	0.00	0	0.00	232420

	LABORATO RIES PRIVATE LIMITED	37P		0					0		420								
	POTLURI INFRA PROJECTS LLP	AASF P4935 B		59700 00	0	0	597000 0	7.05	59700 00	0	597 000 0	7.05	0	7.05	0	0.00	430 000 0	72.03	5970000
	Sub-Total (A)(1)		16	57557 890	0	0	575578 90		57557 890	0	578 90	68.00	0	68.00	0		059 0		57557890
2	Foreign		0	0	0	0		0.00	_	0	0	0.00	0	0.00	0	0.00		0.00	0
a	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	O	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0.00	0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0			0.00	0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)		0	0	0	0	0	0.00		0	0	0.00	0	0.00	0	0.00		0.00	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		16	57557 890	0	0	575578 90	68.00	57557 890	0	575 578 90	68.00	0	68.00	0	0.00	633 059 0	11.00	57557890

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareh older (III)	No. of fully paid up equity share	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Sharehol ding % calculate d as per SCRR, 1957	e	ach class (of secu		No. of Shares Underlying Outstanding convertible securities	Total shareholdin g , as a % assuming full conversion	Lock sha ()	ber of ced in ares (II)	Si pled oth encu	mber of hares dged or erwise imbered (XIII)	Number of equity shares held in dematerializ ed form
				s held (IV)				As a % of (A+B+C2) VIII	No of Class X	Voting R	Tot al	Total as a % of Total Voting rights	(including Warrants) (X)	of convertible securities (as a percentage of diluted share capital) (XI)	No. (a)	As a % of total Shar es held (b)	No. (No t ap pli ca ble) (a)	As a % of total share s held (Not applic able) (b)	(XIV)
1	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
С	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Foreign Portfolio Investors		1	4461	0	0	4461	0.01	4461	0	446 1	0.01	0	0.01	0	0.00			4461
f	Financial Institutions/ Banks		2	27442	0	0	27442	0.03	27442	0	274 42	0.03	0	0.03	0	0.00			27442
g	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
i	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

	Sub-Total (B)(1)		3	31903	0	0	31903	0.04	31903	0	319 03	0.04	0	0.04	0	0.00		31903
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
3	Non- institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00 0	0.00	0
a	Individuals -		16163	21865 019	0	0	218650 19	25.83	21865 019	0	218 650 19	25.83	0	25.83	0	0.00 0	0.00	21410634
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		16155	16243 249	0	0	162432 49	19.19	16243 249	0	162 432 49	19.19	0	19.19	0	0.00		15788864
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		8	56217 70	0	0	562177 0	6.64	56217 70	0	562 177 0	6.64	0	6.64	0	0.00		5621770
	VIVEK MUNDRA	AFQP M343 6D		11628 34	0	0	116283 4	1.37	11628 34	0	116 283 4	1.37	0	1.37	0	0.00		1162834
	MADHUSUD AN KELA	AHM PK86 10P		13855 99	0	0	138559 9	1.64	13855 99	0	559 9	1.64	0	1.64	0	0.00		1385599
	SUBBARAJ	AAF HV66 69H		17900 00	0	0	179000 0	2.11	17900 00	0	179 000 0	2.11	0	2.11	0	0.00		1790000

	PENMATSA																
b	NBFCs registered with RBI	2	760	0)	0	7600	0.01	7600	0	760 0	0.01	0	0.01	0	0.00	7600
c	Employee Trusts	0	0)	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0)	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
e	Any Other (specify)	669	9 5189 18	96)	0	518961 8	6.13	51896 18	0	518 961 8	6.13	0	6.13	0	0.00	5184268
	Non-Resident Indian (NRI)	227	7 1610 37	09)	0	161093 7	1.90	16109 37	0	161 093 7	1.90	0	1.90	0	0.00	1608957
		AKFP0 M782 4D	114 00	99)	0	114990 0	1.36	11499 00	0	114 990 0	1.36	0	1.36	0	0.00	1149900
	Overseas Corporate Bodies	242	2 286 68	13)	0	286136 8	3.38	28613 68	0	286 136 8	3.38	0	3.38	0	0.00	2859708
	Clearing member	197	7 713 3	10)	0	713103	0.84	71310 3	0	713 103	0.84	0	0.84	0	0.00	713103
	Trust	2	371	0)	0	3710	0.00	3710	0	0	0.00	0	0.00	0	0.00	2000
	Other	1	500)	0	500	0.00	200	0		0.00	0	0.00	0	0.00	500
	Sub-Total (B)(3)	168	834 270 237	62)	0	270622 37	31.97	27062 237	0	27062237	31.97	0	31.97	0	0.00	26602502
	Total Public Shareholding (B)= (B)(1)+(B)(2) +(B)(3)		837 270 140)	0	270941 40	32.01	27094 140	0		32.01	0	32.01	0	0.00	26634405

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Numb	er of Votin	ng Righ	ts held in	No. of	Total	Numl	ber of	Nur	nber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %	ea	ch class		rities	Shares	shareholdin	Lock			hares	equity
	Shareholders		older	paid	equity	underlying	held	calculate		(1	IX)		Underlying	g, as a %	sha			ged or	shares held
	(1)		(III)	up equity	shares held	Depository Receipts	(VII = IV+V+VI)	d as per SCRR,					Outstanding convertible	assuming full	(X	(II)		erwise mbered	in dematerializ
				share	(V)	(VI)	10+0+01)	1957					securities	conversion				XIII)	ed form
				s held	1 (1)	(1.7)		As a % of	No of	Voting Ri	ghts	Total as	(including	of	No.	As a	No.	As a	(XIV)
				(IV)				(A+B+C2)				a % of	Warrants)	convertible		% of	(No	% of	(Not
								(VIII)				Total	(X)	securities (total	t	total	Applicable)
									Class	Class	Tot	Voting rights		as a percentage		Shar es	ap pli	share s held	
									X	Y	al	gc		of diluted		held	ca	(Not	
														share			ble	applic	
														capital) (XI))	able)	
1	Custodian/DR		0	0	0	0	0	0.00	0	0	0	0.00	0	(AI)	0	0.00			0
1	Holder		O			Ŭ.		0.00				0.00	O			0.00			
_	+																		_
2	Employee		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Benefit Trust																		
	(under SEBI																		
	(Share based																		
	Employee																		
	Benefit)																		
	Regulations,																		
	2014)																		
	Total Non-		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Promoter-																		
	Non Public																		
	Shareholding																		
	(C)=																		
	(C)(1)+(C)(2)																		

Table II- Unclaim Details

Details of Shares which remain unclaimed may be give	en here along with details such as number of shareholders,							
outstanding shares held in demat/unclaimed s	outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.							
No. of shareholders	No of share held							

Table III- Unclaim Details

Details of Shares which remain unclaimed may be giv	en here along with details such as number of shareholders,								
outstanding shares held in demat/unclaimed s	outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.								
No. of shareholders	No of share held								

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %