

1. Name of Listed Entity: SMS Pharmaceuticals Limited
2. Scrip Code/Name of Scrip/Class of Security: SMSPHARMA
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Dec-2016
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
As a % of (A+B+C2)			Classes eg: X	Classes eg: y	Total													
A	Promoter & Promoter	16	57222890	0	0	57222890	67.6	57222890	0	572228	67.6	0	67.6	0	0	203059	3.55	57222890

	Group									90					0			
B	Public	16413	27429140	0	0	27429140	32.4	27429140	0	27429140	32.4	0	32.4	0	0			26900180
C	Non Promoter-Non Public	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	16429	84652030	0	0	84652030	100	84652030	0	84652030	100	0	100	0	0	2030590	2.4	84123070

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of share holder	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
1	Indian					0	0			0	0	0	0	0	0	0	0	
a	Individuals/Hindu undivided Family		14	513550	0	513550	60.67	513550	0	513550	60.67	0	60.67	0	0	2030590	3.95	513550
	GOPINEEDI SUDEEPTHI	AFBPT3797B		175000	0	175000	2.07	175000	0	175000	2.07	0	2.07	0	0	0	0	175000
	HARI KISHORE POTLURI .	AEJPP6013K		430060	0	430060	.51	430060	0	430060	.51	0	.51	0	0	0	0	430060
	HIMA BINDU POTLURI	AAOPP2408H		1237360	0	1237360	14.62	1237360	0	1237360	14.62	0	14.62	0	0	0	0	1237360
	P SURESH BABU	AAAPY9383J		141440	0	141440	.17	141440	0	141440	.17	0	.17	0	0	0	0	141440
	RAJESWARA RAO GOPINEEDI	ACPPG5749K		1040	0	1040	0	1040	0	1040	0	0	0	0	0	0	0	1040
	RAMESH BABU POTLURI	ADFP2514C		14506960	0	14506960	17.14	14506960	0	14506960	17.14	0	17.14	0	0	0	0	14506960

	SATYA VANI POTLURU	AGT PP25 95E		756660	0	0	756660	.89	756660	0	756660	.89	0	.89	0	0	0	0	756660
	SUKUMARI KONERU	AJJP K357 8L		40000	0	0	40000	.05	40000	0	40000	.05	0	.05	0	0	0	0	40000
	T ANNAPURNA	ABIP T923 0C		439034	0	0	439034	5.19	439034	0	439034	5.19	0	5.19	0	0	0	0	439034
	T V PRAVEEN	ACR PT06 64J		129534	0	0	129534	1.53	129534	0	129534	1.53	0	1.53	0	0	0	0	129534
	T V V S N MURTHY	AAA HT53 77D		398134	0	0	398134	4.7	398134	0	398134	4.7	0	4.7	0	0	0	0	398134
	T V V S N MURTHY	ABK PT02 55F		822700	0	0	822700	9.72	822700	0	822700	9.72	0	9.72	0	0	0	0	822700
	TRILOK POTLURI	AQSP P0471 A		143134	0	0	143134	1.69	143134	0	143134	1.69	0	1.69	0	0	0	0	143134
	VAMSI KRISHNA POTLURI	AQSP P0412 F		203059	0	0	203059	2.4	203059	0	203059	2.4	0	2.4	0	0	203059	100	203059
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)		2	586742	0	0	586742	6.93	586742	0	586742	6.93	0	6.93	0	0	0	0	586742
	Body Corporate		2	586742	0	0	586742	6.93	586742	0	586742	6.93	0	6.93	0	0	0	0	586742

										0									
	POTLURI LABORATORIES PRIVATE LIMITED	AAACH5037P		7420	0	0	7420	.01	7420	0	7420	.01	0	.01	0	0	0	0	7420
	POTLURI INFRA PROJECTS LLP	AASF P4935B		586000	0	0	586000	6.92	586000	0	586000	6.92	0	6.92	0	0	0	0	586000
	Sub-Total (A)(1)		16	57222890	0	0	57222890	67.6	57222890	0	57222890	67.6	0	67.6	0	0	2030590	3.55	57222890
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		16	57222890	0	0	57222890	67.6	57222890	0	57222890	67.6	0	67.6	0	0	2030590	3.55	57222890

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
l	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
e	Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
f	Financial Institutions/ Banks		2	39958	0	0	39958	.05	39958	0	39958	.05	.05	0	0			39958	
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
i	Any Other		0	0	0	0	0	0	0	0	0	0	0	0	0			0	

	(specify)																		
	Sub-Total (B)(1)		2	399580	0	0	39958	.05	399580	0	39958	.05	0	.05	0	0			39958
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals -		15857	208550	558	0	208555	24.63	208550	558	208555	24.63	0	24.63	0	0	0	0	20331948
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		15851	166360	262	0	166362	19.65	166360	262	166362	19.65	0	19.65	0	0			16112652
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		6	421920	96	0	421929	4.98	421920	96	421929	4.98	0	4.98	0	0			4219296
	VENKATA SUBBARAJU PENMATSA	AAFHV6669H	1	179500	0	0	179500	2.12	179500	0	179500	2.12	0	2.12	0	0			1795000
	VIVEK MUNDRA	AFQPM3436D	1	116280	34	0	116283	1.37	116280	34	116283	1.37	0	1.37	0	0			1162834

b	NBFCs registered with RBI		2	3100	0	0	3100	0	3100	0	3100	0	0	0	0	0	0	3100
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		552	6530524	0	0	6530524	7.71	6530524	0	6530524	7.71	0	7.71	0	0	0	6525174
	Non-Resident Indian (NRI)		229	1827459	0	0	1827459	2.16	1827459	0	1827459	2.16	0	2.16	0	0	0	1825479
	ANIRUDDH MUNDRA	AKFP0 M782 4D	0	1149900	0	0	1149900	1.36	1149900	0	1149900	1.36	0	1.36	0	0	0	1149900
	Body Corporate		218	3300659	0	0	3300659	3.9	3300659	0	3300659	3.9	0	3.9	0	0	0	3298999
	Clearing member		102	407563	0	0	407563	.48	407563	0	407563	.48	0	.48	0	0	0	407563
	Overseas Corporate Bodies		1	992633	0	0	992633	1.17	992633	0	992633	1.17	0	1.17	0	0	0	992633
	GULF PHARMACEUTICALS INDUSTRIES	AAC CG91 05G	0	992633	0	0	992633	1.17	992633	0	992633	1.17	0	1.17	0	0	0	992633
	Trust		1	1710	0	0	1710	0	1710	0	1710	0	0	0	0	0	0	0
	Other		1	500	0	0	500	0	500	0	500	0	0	0	0	0	0	500
	Sub-Total (B)(3)		16411	27389182	0	0	27389182	32.34	27389182	0	27389182	32.34	0	32.34	0	0	0	26860222

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Total Public Shareholding (B)= (B)(1)+(B)(2) +(B)(3)		16413	274290	140	0	274291	32.39	274290	140	274291	32.39	0	32.39	0	0				26900180

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %